

24/01/2023

Attention; Heidi Watters
Planning Industry & Environment
4 Parramatta Square, 12 Darcy Street,
Parramatta, NSW, 2150

Horizon Lee 5 – SSD-9827
Independent Audit 1 – Response to Additional Information

I am writing to you in response to the Independent DPE Compliance Audit Dated 17th of January 2023 and the Request for Additional Information dated 16th of December 2022.

The below Table 1 - Non-Compliance Conditions Bloc Comments. are provided to address the Non-Compliances identified under section 3.1 of the Independent Report referenced above and Section 9. From the Request for Additional Information.

Condition:	Bloc Comment:
A21.	Bloc acknowledge that a review of our Strategies, Plans and programs are due in accordance within the timing as outlined in DA consent condition A21. We will notify in writing to the Department of Planning and Environment (DPE) that this review is being carried out. Where revision to the strategies, plans and programs are required with will resubmit to the DPE in accordance with A22.
C1.	Although the notice of commencement was provided, Bloc acknowledge the timing of commencement was not greater than 48 hours prior, which was Non-Compliant with the condition C1.
C37.	Bloc acknowledge that compliance auditing had not been completed to date in accordance with the due to a misinterpretation of the audit frequency requirements. All future Compliance Reporting will be prepared in accordance with Post Approval Requirements. The next Compliance Audit is scheduled for April 2023.

Table 1 - Non-Compliance Conditions Bloc Comments.

The below Table 2 - Opportunities for Improvement provides comments from the recommendation provided in the Independent Audit Report.

Opportunities for Improvement:	Bloc Comment:
A2.	As mentioned in Table 1, Bloc will notify the DPE and commence a review of the Management Plans.
A16.	Bloc will ensure the notification procedure for any incident in the future is followed.
A17.	Bloc will ensure the notification procedure for any incident in the future is followed.
A21.	As mentioned in Table 1, Bloc will notify the DPE and commence a review of the Management Plans.
C1.	Bloc will ensure all future projects comply with the requirements of written notification of commencement.
C37.	Bloc will ensure that all/if any reporting requirements within any Development Application are reviewed and understood within Bloc's internal Pre-Construction review.

Table 2 - Opportunities for Improvement

Please contact the undersigned if any further queries or discussion following the outcome of this compliance report.

Regards,




Matthew Small
Project Manager
Horizon on the Harbour

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